

10/594315

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence C. KENNEDY
Title: VISCOUS FLUID CLUTCH ASSEMBLY
Appl. No.: Unassigned
Filing Date: 9/27/2006
International Appl. No.: PCT/US2005/011345
International Filing Date: 4/1/2005
Examiner: Unassigned
Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

RELEVANCE OF EACH DOCUMENT

Document A1 listed on the attached PTO/SB/08 was cited in the International Search Report in Applicants' International Application PCT/US2005/011345. A copy of the International Search Report is attached setting forth the portion of the reference considered relevant by the examiner.

Documents A2 through A12 are in English and the relevance of the documents is described in the present specification.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicants believe that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date: September 26, 2006

By 

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: September 26, 2006 <i>(use as many sheets as necessary)</i>				Complete if Known Application Number: IAP5 Rec'd PCT/PTO 27 SEP 2006 Filing Date: 9/26/2006 First Named Inventor: Lawrence C. Kline Group Art Unit: Unassigned Examiner Name: Unassigned Attorney Docket Number: 032968-0134	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	6,102,177	A	MOSER ET AL.	08-15-2000	
	A2	5,823,309	A	GOPALSWAMY ET AL.	10-20-1998	
	A3	5,845,752	A	GOPALSWAMY ET AL.	12-08-1998	
	A4	5,848,678	A	JOHNSTON ET AL.	12-15-1998	
	A5	5,896,964	A	JOHNSTON ET AL.	04-27-1999	
	A6	5,896,965	A	GOPALSWAMY ET AL.	04-27-1999	
	A7	5,960,918	A	MOSER ET AL.	10-05-1999	
	A8	6,032,772	A	MOSER ET AL.	03-07-2000	
	A9	6,102,177	A	MOSER ET AL.	08-15-2000	
	A10	6,173,823	B1	MOSER ET AL.	01-16-2001	
	A11	6,318,531	B1	USORO ET AL.	11-20-2001	
	A12	6,585,092	B1	SMITH ET AL.	07-01-2003	

UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Application Document		Name of Patentee or Applicant of Cited Document	Filing Date of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Serial Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)			

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.